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#### LAW OFFICES STAAS & HALSEY LLP

<u>Telephone</u> (202) 434-1500

1201 New York Avenue, N.W. Suite 700 Washington, D.C. 20005 <u>Facsimile</u> (202) 434-1501

TELEPHONE:

FACSIMILE TRANSMISSION

April 21, 2004

TO:

U.S. PATENT AND TRADEMARK OFFICE

ATTN:

Monica

FAX NO.:

703-746-4060

FROM:

Stephen T. Boughner

RE:

CORRECTED TRANSMITTAL

SERIAL NO. 10/816,926

YOUR REFERENCE: FEM-1478(DIV)

**OUR DOCKET: 1185.1033DD** 

NO. OF PAGES (Including this Cover Sheet) 6

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#### COMMENTS:

Dear Monica:

Thank you for your assistance on Friday, April 16, 2004.

As discussed, on April 5, 2004, we inadvertently filed a Continuing (§111(a)) Application Transmittal of International Application. However, as instructed, I am enclosing a copy of the corrected Continuing (1.53(b)) Patent Application Transmittal to replace the incorrect transmittal that was previously submitted and filed on April 5, 2004.

Your prompt attention to this matter is greatly appreciated.

09:39am From-STAAS & HALSEY

S&H Form: PTO/SB/05 (2/02)

under Box 46, is considered as being part of the discrete ference therein.  Applicant claims foreign priority benefit to: Japanese Application No. 9-143346 filed May 17, 1997  Application Data Sheet. See 37 C.F.R. 1.76  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. □ Computer Readable Form (CRF)  b. □ Specification Sequence Listing on:  l. □ CD-ROM or CD-R (2 copies); or  il. □ paper  c. □ Statement verifying identity of above copies  12. ☒ Assignment to ENPLAS CORPORATION of Kawaguchi-shi, Japan at Reel 9408, Frame 0133  ☒ for publication of assignee Information under 37 CFR 1.215(b)  ACCOMPANYING APPLICATION PARTS  13. □ 37 CFR 3.73(b) Statement (when there is an assignee) □ Power of Attorney  14. □ English Translation Document (if applicable)  15. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.	_ : _			Attamps Occho	i No		
(1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL    Court be continuing applications under 27 CFR 1.53(bi)   Express Meil Label No.				1185.1033DD	, NO.		
Title: SURFACE LIGHT SOURCE DEVICE OF SIDE SETTING  TRANSMITTAL  Express Mail Label No.    Application geniteptions under 37 CFR 1.63(bi)				First Named Inventor:			
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APPLICATION   SLEMENTS   See MPEP chapter 800   Commissioner for Patents   Box Patent Application   PO Box 1450   Alexandria, VA 22313-1450							
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APPLICATION ELEMENTS Soe MPEP chapter 600 concenting willty patent application contents.    See Transmittal Form	(Case the continuing gogications under 37 CFR 1.53(b))						
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Specification, Claims & Abstract			Alexan	Drid, VA ZZS id			
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Oath or Dectaration				[ Total Sheets: 6	[FIGS. 1, 2A, 2B, 3, 4, 5A, 5B, 5C, 6, 7, 8, and 9 ]		
a.							
D. ☐ Copy from a prior application (37 CFR 1.536)  This application is filed by fewer than all the inventors named in the prior nonprovisional application:  a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:  b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.  Verified Statement Claiming Small Entity Status  Incorporation by Reference (usable if Box 4b is checked)  The entire disclosure of the prior application (identified in Item 22), from which a copy of the oath or declaration is supplied the graph of the graph of the disclosure of the accompanying application and is hereby incorporated by reference therein.  Applicant claims foreign priority benefit to:	. 🛛	☐ Noute everated (∩	rininal or COD	ov)			
a. ☐ DELETE the following inventions is all the processory.  b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.  6. ☐ Verified Statement Claiming Small Entity Status  7. ☒ Incorporation by Reference (usable if Box 4b is checked)  The entire disclosure of the prior application (identified in Item 22), from which a copy of the oath or declaration is supplied the entire disclosure of the prior application (identified in Item 22), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  8. ☒ Applicant claims foreign priority benefit to:  Japanese Application No. 9-143346 filed May 17. 1997  Application Data Sheet. See 37 C.F.R. 1.76  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. ☐ Computer Readable Form (CRF)  b. ☐ Specification Sequence Listing on:  1. ☐ CD-ROM or CD-R (2 copies); or  1. ☐ CD-ROM or CD-R (2 copie		b. X Copy from a prior a	application (3	37 CFR 1.03(0))			
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Application Data Sheet. See 37 C.F.R. 1.78    Application Data Sheet. See 37 C.F.R. 1.78   CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)   Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)   a.   Computer Readable Form (CRF)   b.   Specification Sequence Listing on:   I.   CD-ROM or CD-R (2 copies); or   II.   paper   c.   Statement verifying identity of above copies   Assignment to ENPLAS CORPORATION of Kawaguchi-shi, Japan at Reel 9408, Frame 0133   ACCOMPANYING APPLICATION PARTS   ACCOMPANYING APPLICATION PARTS   37 CFR 3.73(b) Statement (when there is an assignee)   Power of Attorney   English Translation Document (if applicable)   English Translation Document (if applicable)   Specification No. 09/078,445.	7. 🛭	Incorporation by Reference (usable if Box 4b is checked)  The entire disclosure of the prior application (identified in Item 22), from which a copy of the oath or declaration is supplied. The entire disclosure of the prior application (identified in Item 22), from which a copy of the oath or declaration is supplied to under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by under Box 4b, is considered as being part of the disclosure of the accompanying application.					
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Nucleotide and/or Amino Acid Sequence Submission (# applicable, all necessary)  a.	9. 🗇	Application Data Sheet S	ee 37 C.F.R.	. 1.76 ble or Computer Pr	ogram (Appendix)		
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13. Some of Priority benefit Control of the Control	ACCOMPANYING APPLICATION PARTS						
b. ☐ Certified Copy of Priority Decument(s) to follow.  c. ☐ Certified Copy of Priority Document(s) to follow.  16. ☑ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  17. ☑ Preliminary Amendment a. ☐ enclosed herewith. b. ☑ incorporated into application as filed. incorporated into application as filed.  18. ☑ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent	14.	English Translation Uccun oreign priority benefit under s  a.  Certified Copy o  b.  Certified Copy o  c.  Certified Copy o  Information Disclosure Sta  Preliminary Amendment  a.  enclosed herew  b.  incorporated into  Return Receipt Postcard of  Request and Certification for  equivalent	nealt (# appm 35 U.S.C. §1 f Priority Doc f Priority Doc atement (IDS ith.	19 is claimed. cument(s) filed in pri- cument(s) enclosed. cument(s) to follow. s)/PTO-1449  Cop	or application No. 09/078,445.		
20. Other: [Page 1 of 2]	20. 🗆	Other:			[Page 1 of 2]		

Verified Statement Claiming Small Entity Status

6. 🗆

7. 🛛

From-STAAS & HALSEY 09:39am

•	S&H Form: PTO/SB/05 (5/02)
CONTINUING (§111(a)) APPLICATION TRANSMITTAL OF INTERNATIONAL APPLICATION (Bypess Continuation Application Under 35 U.S.C. \$11(a))	Attorney Docket No.  1185.1033DD  First Named Inventor: Hiromi MATSUSHITA  Title:  SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT  TYPE  Express Mail Label No.
APPLICATION ELEMENTS See MPEP chapter 600 Engrephing utility PO Box	ssioner for Patents tent Application
4.  Cath or Declaration  a.  Newly executed (original or cop  b.  Coov from a prior application (3	2) 01 (1 1:20(4))
	ll the inventors named in the prior nonprovisional application. r(s) named in the prior nonprovisional application:

7. KA	The entire disclosure of the prior application (identified in item 22) is disclosure of the prior application and is hereby incorporated by reference therein.	
8. 🗆	Application Data Sneet. See 37 5.7 ft. 1197.	
9. 🛛	Applicant claims foreign priority benefit to: <u>Japanese Application No. 5-14-35-70 mag</u>	
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120 23	Assignment to ENPOS CORPORTION under 37 CFR 1.215(b)  ACCOMPANYING APPLICATION PARTS  ACCOMPANYING APPLICATION PARTS	
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17. 🛭 18. 🗖	<ul> <li>a. L1 enclosed relevant.</li> <li>b. M incorporated into application as filed.</li> <li>Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</li> <li>Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(I). Applicant must attach form PTO/SB/35 or its</li> </ul>	
21.	Other:	
6 0001	Staas & Halsey LLP	

The entire disclosure of the prior application (identified in Item 22) is considered as being part of the disclosure of the

b. 

The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

THE WIND ADDITION	N, check appropriate box and supply the requisite information below:
22. CONTINUING APPLICATION	MIN, Chack appropriate and the control of the contr
☐ Continuation ☐ Divisional ☐ C	Continuation-in-part (CIP) of prior application No: 10/179,975.
Prior application information:	Examiner Thomas M. Sember Group Art 2875
	PONDENCE ADDRESS CUSTOMER NO. 21,171
23. NEW CORRESI	OUNEWOR MADINESO TO TO THE PROPERTY OF THE PRO
	21171 PATENT TRADEMARK OFFICE
	ATTORNEY OR AGENT
24. SIG NAME Stephen T. Bougl	NATURE OF ATTORNEY OR AGENT REGISTRATION   45,317
TA	April 5, 2004
SIGNATURE	[Page 2 of 2]



S&H Form (2/02) 1185.1033DD Attorney Docket No. NEW APPLICATION Divisional of 10/179,975 Application Number FEE TRANSMITTAL April 5, 2004 Filing Date Hiromi MATSUSHITA First Named Inventor 856.00 AMOUNT ENCLOSED FEE CALCULATION (fees effective 10/01/03) (5)(3) NUMBER (2) NUMBER CALCULATIONS (1) FOR RATE CLAIMS EXTRA FILED 0.00  $\times$  \$ 18.00 = - 20 = TOTAL CLAIMS 86.00 ×\$ 86.00 = 1 - 3 = INDEPENDENT **CLAIMS** + \$290.00 = MULTIPLE DEPENDENT CLAIMS (any number, if 770.00 apolicable) BASIC FILING FEE 856.00 Total of above Calculations = Surcharge for late filing fee, Oath or Declaration (37 CFR 1.63(f)) (\$130.00) Reduction by 50% for filing by small entity (37 CFR 1.27). 856.00 TOTAL FILING FEE = \$ Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) Recordation of Assignment (\$40.00; 37 CFR 1.21(h)) 856.00 \$ TOTAL FEES DUE = A Maria Salah METHOD OF PAYMENT Check enclosed as payment. 図 Charge "TOTAL FEES DUE" to the Deposit Account No. below. No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date). If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to: X 19-3935 Deposit Account No. STAAS & HALSEY LLP Deposit Account Name The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filling fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, 図 including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application. SUBMITTED BY: STAAS & HALSEY LLP 45,317 Reg. No. Stephen T. Boughner Typed Name April 5, 2004 Date Signature @2001 Staas &/Halsey LLP



10/816926



Please Date Stamp and return

NEW U.S. CONTINUATION/DIVISIONAL PATENT APPLICATION INCLUDING: NEW CONTINATION/DIVISIONAL APPLICATION TRANSMITTAL; NEW CONTINUATION APPLICATION FEE TRANSMITALL; SPECIFICATION, CLAIMS AND ABSTRACT (21 PAGES); 6 SHEETS OF DRAWINGS (FIGS. 1, 2A, 2B, 3, 4, 5A, 5B, 5C, 6, 7, 8 AND 9); COPY OF DECLARATION FROM PRIOR APPLICATION; INFORMATION DISCLOSURE STATEMENT ALONG WITH PTO-1449 FORM CITING (7) REFERENCES AND CHECK FOR \$856.00 22858 U.S. PTO

APPLICANT(S):

Hiromi MATSUSHITA

SERIAL NO: .

Unassigned

CONFIRMATION NO. Unassigned

TITLE:

SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE

FILING DATE:

April 5, 2004

DOCKET NO:

1185.1033DD/STB:rpa

DUE DATE:

April 13, 2004

